

# The Ramifications of Sharing in Data Structures

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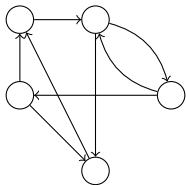
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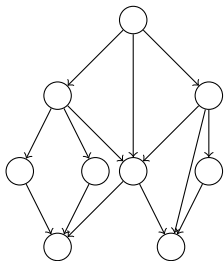
University College London

# Programs with Sharing in the Wild

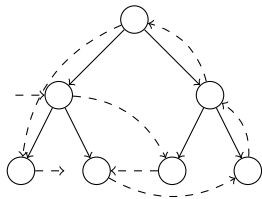
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Graphs

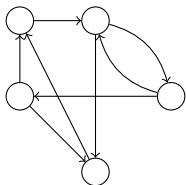


Acyclic graphs (DAGs)

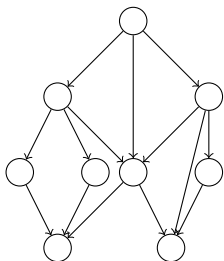


Overlaid data structures  
(threaded tree)

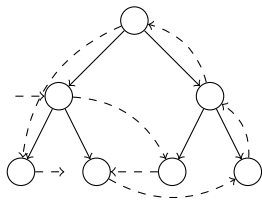
# Programs with Sharing in the Wild



Graphs



Acyclic graphs (DAGs)

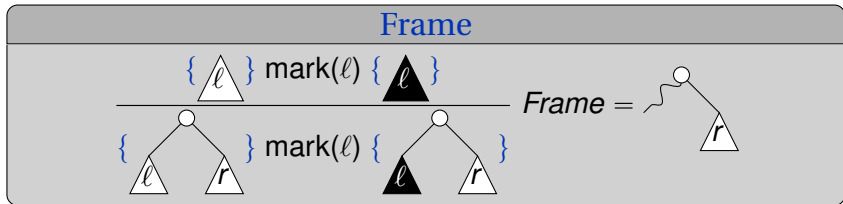


Overlaid data structures  
(threaded tree)

## Verifying Programs with Sharing

- Many techniques applicable (shape analysis, model-checking, ...)
- Lack of general principles
- Challenge for compositionality

# Compositional Reasoning for the Heap



## The AI Frame Problem

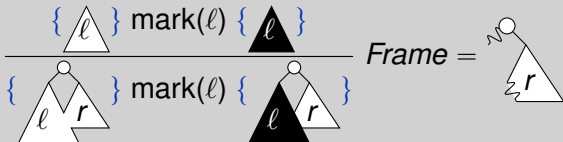
“Describing what does not change as a result of an action”

## Success: Separation Logic

- Data structures without sharing (lists, trees, ...)
- Compositionality based on **disjointness** of memory accesses

# Compositional Reasoning for the Heap

## Frame



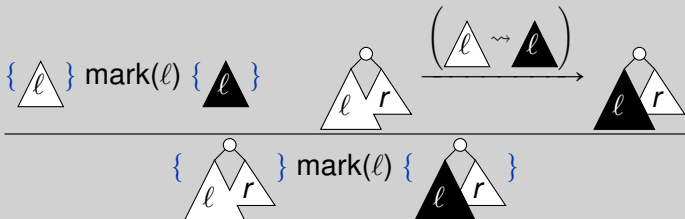
## The AI Frame Problem

“Describing what does not change as a result of an action”

## Sharing and Frame

- Brittle predicates that shoehorn separation in ( $\star$ )
- Ad-hoc reasoning
- No general solution

## Ramification



## The AI Ramification Problem

“The ramification problem is concerned with indirect consequences of an action.”

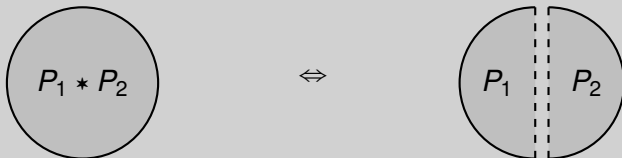
## Key Points

- Embrace sharing when it is natural ( $*$ ,  $\otimes$ ,  $\wedge$ , ...)
- Separate spatial and mathematical reasoning

## Marking a Dag

## Separating Conjunction

- $\sigma_1 \bullet \sigma_2$  is the **disjoint union** of  $\sigma_1$  and  $\sigma_2$
- $\sigma \models P_1 \star P_2$  iff  $\exists \sigma_1, \sigma_2. \sigma = \sigma_1 \bullet \sigma_2 \ \& \ \sigma_1 \models P_1 \ \& \ \sigma_2 \models P_2$





## Separating Conjunction

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## Heap Assertions

- emp empty heap
- $x \mapsto a$  only  $x$  is allocated ( $*x == a$ )
- $x \mapsto a, b, c$   $x + 0 \mapsto a \ \& \ x + 1 \mapsto b \ \& \ x + 2 \mapsto c$

## Separating Conjunction

- $\sigma_1 \bullet \sigma_2$  is the **disjoint union** of  $\sigma_1$  and  $\sigma_2$
- $\sigma \models P_1 \star P_2$  iff  $\exists \sigma_1, \sigma_2. \sigma = \sigma_1 \bullet \sigma_2 \ \& \ \sigma_1 \models P_1 \ \& \ \sigma_2 \models P_2$

- Mathematical trees (terms)

$$\tau \stackrel{\text{def}}{=} E \mid N(v, \tau, \tau)$$

- Spatial trees

$$\text{tree}(x, \tau) \stackrel{\text{def}}{=} (x = 0 \wedge \tau = E \wedge \text{emp})$$

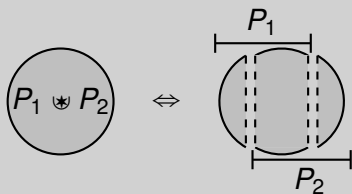
$$\vee (\exists \ell, r, v, \tau_\ell, \tau_r. \tau = N(v, \tau_\ell, \tau_r) \wedge x \mapsto v, \ell, r \star \text{tree}(\ell, \tau_\ell) \star \text{tree}(r, \tau_r))$$



## Overlapping Conjunction

- $\sigma \models P_1 \ast P_2$  iff

$$\exists \sigma_1, \sigma_2, \sigma_3. \sigma = \sigma_1 \bullet \sigma_2 \bullet \sigma_3 \ \& \ \sigma_1 \bullet \sigma_2 \models P_1 \ \& \ \sigma_2 \bullet \sigma_3 \models P_2$$



## Overlapping Conjunction

- $\sigma \models P_1 \star P_2$  iff  
 $\exists \sigma_1, \sigma_2, \sigma_3. \sigma = \sigma_1 \bullet \sigma_2 \bullet \sigma_3 \ \& \ \sigma_1 \bullet \sigma_2 \models P_1 \ \& \ \sigma_2 \bullet \sigma_3 \models P_2$

- Mathematical graphs  $\gamma \stackrel{\text{def}}{=} (V, L, E)$ :
  - $V$  = vertices
  - $L : V \rightarrow Val$  = labelling
  - $E$  = edges
- Spatial dags

$$\text{dag}(x, \gamma) \stackrel{\text{def}}{=} (x = 0 \wedge \text{emp})$$

$$\vee \exists l, r, v. \gamma(x) = (v, l, r) \wedge$$

$$x \mapsto v, l, r \star (\text{dag}(l, \gamma) \star \text{dag}(r, \gamma))$$

 $\emptyset$ 


## Overlapping Conjunction

- $\sigma \models P_1 \uplus P_2$  iff  
 $\exists \sigma_1, \sigma_2, \sigma_3. \sigma = \sigma_1 \bullet \sigma_2 \bullet \sigma_3 \ \& \ \sigma_1 \bullet \sigma_2 \models P_1 \ \& \ \sigma_2 \bullet \sigma_3 \models P_2$

- Mathematical graphs  $\gamma \stackrel{\text{def}}{=} (V, L, E)$ :
  - $V$  = vertices
  - $L : V \rightarrow Val$  = labelling
  - $E$  = edges
- Spatial graphs

$$\begin{aligned} \text{graph}(x, \gamma) &\stackrel{\text{def}}{=} (x = 0 \wedge \text{emp}) \\ &\vee \exists \ell, r, v. \gamma(x) = (v, \ell, r) \wedge \\ &\quad x \mapsto v, \ell, r \uplus \text{graph}(\ell, \gamma) \uplus \text{graph}(r, \gamma) \end{aligned}$$

# Overlapping conjunction $\star$

## Folklore

- $\star$  comes from relevance logic (“relevant conjunction”, “sepish”, ...)
- $\text{dag}(x, \gamma)$  known in folklore [Rey Unpub'03]

## The Frame Rule

$$\frac{\{P\} c \{Q\}}{\{P \star F\} c \{Q \star F\}}$$

$$\frac{\{\text{tree}(\ell, \tau_\ell)\} \text{mark}(\ell) \{\text{tree}(\ell, \tau'_\ell)\}}{\{\text{tree}(\ell, \tau_\ell) \star \text{tree}(r, \tau_r)\} \text{mark}(\ell) \{\text{tree}(\ell, \tau'_\ell) \star \text{tree}(r, \tau_r)\}}$$

## Challenge

How to use  $\star$  for verification?

## Specification of mark\_dag

```
1 struct node {short m; struct node *l,*r;};
2
3 void mark_dag(struct node *d) { // {dag(d,γ)}
4     if (!d || d->m) return;
5     struct node *l = d->l, *r = d->r;
6     mark_dag(l);
7     mark_dag(r);
8     d->m = 1;
9 } // {dag(d, m(γ, d))}
```



### Mathematical Marking $m(\gamma, x)$

$$m((V, L, E), x) = (V, L', E)$$

where

$$L'(y) = \begin{cases} 1 & \text{if } y \in \text{reach\_zero}(\gamma, x) \\ L(y) & \text{otherwise} \end{cases}$$

## Proof of mark\_dag Using Framing

```
1 struct node {short m; struct node *l,*r;};
2
3 void mark_dag(struct node *d) { // {dag(d,  $\gamma$ )}
4     if (!d || d->m) return;
5     struct node *l = d->l, *r = d->r;
6
7     //
8     mark_dag(l);
9     //
10    mark_dag(r);
11    //
12    d->m = 1;
13    //
14 } // {dag(d,  $m(\gamma, d)$ )}
```





## Proof of mark\_dag Using Framing

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8     mark_dag(l);
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# Proof of mark\_dag Using Framing

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## The Frame Rule

$$\frac{\{P\} c \{Q\}}{\{P * F\} c \{Q * F\}}$$



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6   // { d ↦ 0, l, r * (dag(l, γ) * dag(r, γ))
7     //   ^ γ(d) = (0, l, r) }
8   mark_dag(l);
9   // {dag(l, m(γ, l)) * ???}
10  mark_dag(r);
11  //
12  d->m = 1;
13  //
14 } // {dag(d, m(γ, d))}
```



## The Frame Rule

$$\frac{\{P\} c \{Q\}}{\{P * F\} c \{Q * F\}}$$



- **Separated** mathematical dag (term)

$$\delta \stackrel{\text{def}}{=} \text{Empty} \mid x : \text{Node } \delta_\ell \delta_r \mid \text{Ptr } x$$

- Spatial **separated** dags

$$\text{pdag}(0, \text{Empty}) \stackrel{\text{def}}{=} \text{emp}$$

$$\text{pdag}(x, x : \text{Node } v \delta_\ell \delta_r) \stackrel{\text{def}}{=} \exists \ell, r. x \mapsto v, \ell, r * \text{pdag}(\ell, \delta_\ell) * \text{pdag}(r, \delta_r)$$

$$\text{pdag}(x, \text{Ptr } x) \stackrel{\text{def}}{=} \text{emp}$$

## Caveats

- Predicate depends on the order of traversal
- Complex specifications and invariants

## Proof of mark\_dag using Ramification



```
1 void mark_dag(struct node *d) { // {dag(d,  $\gamma$ )}  $\triangle$ 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   //
5   mark_dag(l);
6   //
7   mark_dag(r);
8   //
9   d->m = 1;
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11 } // {dag(d,  $m(\gamma, d)$ )}
```

## Proof of mark\_dag using Ramification



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1 void mark_dag(struct node *d) { // {dag(d, γ)}
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊗ dag(r, γ))
      { ^ γ(d) = (0, l, r) }
5   mark_dag(l);
6   //
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5     ^ γ(d) = (0, l, r)
6   mark_dag(l);
7
8   mark_dag(r);
9
10  d->m = 1;
11 }
```



# The Ramify Rule of Separation Logic

## The Ramify Rule

$$\{P\} c \{Q\}$$

---

$$\{R\} c \{R'\}$$

# The Ramify Rule of Separation Logic

## The Ramify Rule

$$\frac{\{P\} c \{Q\} \quad \text{ramify}(P \rightsquigarrow Q, R) = R'}{\{R\} c \{R'\}}$$

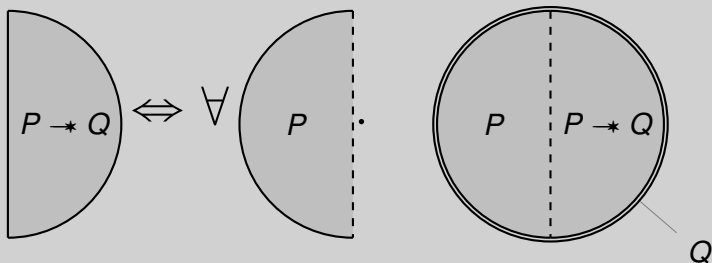
# The Ramify Rule of Separation Logic

## The Ramify Rule

$$\frac{\{P\} c \{Q\} \quad R \vdash P * (Q \rightarrow * R')}{\{R\} c \{R'\}}$$

## Magic Wand

- $\sigma \models P \rightarrow * Q$  iff  $\forall \sigma' \models P. \sigma \bullet \sigma' \models Q$



# The Ramify Rule of Separation Logic

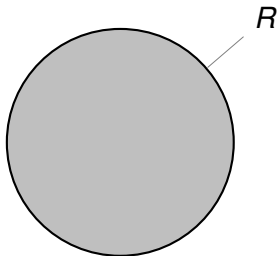
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## Ramification Entailment



# The Ramify Rule of Separation Logic

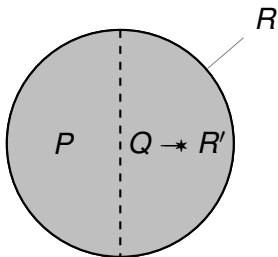
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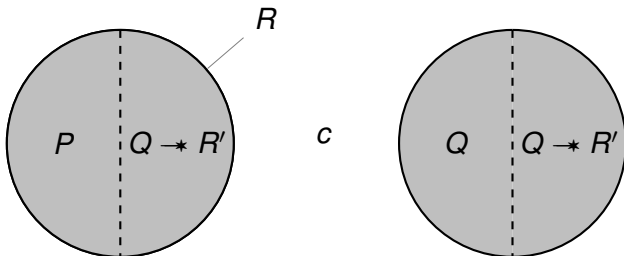
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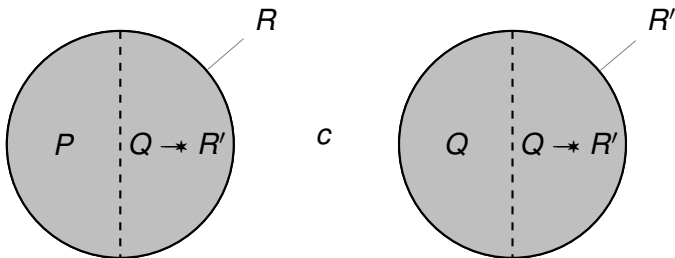
## The Ramify Rule

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## Magic Wand

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## Ramification Entailment



## Back to mark\_dag: First Recursive Call

### The Ramify Rule

$$\frac{\{P\} \text{c} \{Q\} \quad R \vdash P * (Q \rightarrow * R')}{\{R\} \text{c} \{R'\}}$$

```
// {dag(l, γ) * dag(r, γ)}  
  mark_dag(l);  
// ↴
```



## Back to mark\_dag: First Recursive Call

### The Ramify Rule

$$\frac{\{P\} \text{c} \{Q\} \quad R \vdash P * (Q \rightarrow R')}{\{R\} \text{c} \{R'\}}$$

```
// {dag(l, γ) * dag(r, γ)}  
mark_dag(l);  
// ⚡ {dag(l, m(γ, l)) * dag(r, m(γ, l))}
```

### Ramification Condition

$$\vdash \text{dag}(l, \gamma) * (\text{dag}(l, m(\gamma, l)) \rightarrow \text{dag}(l, m(\gamma, l)) * \text{dag}(r, m(\gamma, l)))$$

# Ramification Library: Updating DAGs

Lemma

SubDAG Update

$$\frac{\text{reach}(\gamma', x) \supseteq \text{reach}(\gamma, x) \quad \text{unreach}(\gamma', x) = \text{unreach}(\gamma, x)}{\text{dag}(x, \gamma) \uplus \text{dag}(y, \gamma) \vdash \text{dag}(x, \gamma) * (\text{dag}(x, \gamma') \rightarrow * \text{dag}(x, \gamma') \uplus \text{dag}(y, \gamma'))}$$

- $\text{reach}(\gamma', x) \supseteq \text{reach}(\gamma, x)$ : no deallocation
- $\text{unreach}(\gamma', x) = \text{unreach}(\gamma, x)$ : local modification

# Ramification Library: Updating DAGs

Lemma

SubDAG Update

$$\frac{\text{reach}(\gamma', x) \supseteq \text{reach}(\gamma, x) \quad \text{unreach}(\gamma', x) = \text{unreach}(\gamma, x)}{\text{dag}(x, \gamma) \uplus \text{dag}(y, \gamma) \vdash \text{dag}(x, \gamma) * (\text{dag}(x, \gamma') \multimap \text{dag}(x, \gamma') \uplus \text{dag}(y, \gamma'))}$$

## First Recursive Call

```
// {dag(l, γ) ⊕ dag(r, γ)}  
mark_dag(l);  
// ⚡ {dag(l, m(γ, l)) ⊕ dag(r, m(γ, l))}
```

$$\text{dag}(l, \gamma) \uplus \text{dag}(r, \gamma) \vdash \text{dag}(l, \gamma) * (\text{dag}(l, m(\gamma, l)) \multimap \text{dag}(l, m(\gamma, l)) \uplus \text{dag}(r, m(\gamma, l)))$$

# Ramification Library: Updating DAGs

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## First Recursive Call

```
// {dag(l, γ) ∗ dag(r, γ)}
  mark_dag(l);
// ⚡ {dag(l, m(γ, l)) ∗ dag(r, m(γ, l))}
```

$$\frac{\text{reach}(m(\gamma, l), l) \supseteq \text{reach}(\gamma, l) \quad \text{unr.}(m(\gamma, l), l) = \text{unr.}(\gamma, l)}{\text{dag}(l, \gamma) \uplus \text{dag}(r, \gamma) \vdash \text{dag}(l, \gamma) * (\text{dag}(l, m(\gamma, l)) \rightarrow * \text{dag}(l, m(\gamma, l)) \uplus \text{dag}(r, m(\gamma, l)))}$$

# Ramification Library: Updating DAGs

Lemma

SubDAG Update

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## First Recursive Call

```
// {dag(l, γ) ∗ dag(r, γ)}
mark_dag(l);
// ⚡ {dag(l, m(γ, l)) ∗ dag(r, m(γ, l))}
```

*math*




*math*

$\text{reach}(m(\gamma, l), l) \supseteq \text{reach}(\gamma, l)$





$\text{unr.}(m(\gamma, l), l) = \text{unr.}(\gamma, l)$

$\text{dag}(l, \gamma) \uplus \text{dag}(r, \gamma) \vdash \text{dag}(l, \gamma) * (\text{dag}(l, m(\gamma, l)) \multimap \text{dag}(l, m(\gamma, l)) \uplus \text{dag}(r, m(\gamma, l)))$




## Proof of mark\_dag using Ramification

```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊕ dag(r, γ)) } 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊕ dag(r, m(γ, l))) } 
7   mark_dag(r);
8   //
9   d->m = 1;
10  //
11 } // {dag(d, m(γ, d))}
```

## Proof of mark\_dag using Ramification






```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
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3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊛ dag(r, γ)) }
   //   ^ γ(d) = (0, l, r) 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊛ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) 
7   mark_dag(r);
8   //
9   d->m = 1;
10  //
11 } // {dag(d, m(γ, d))} 
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## Proof of mark\_dag using Ramification

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1 void mark_dag(struct node *d) { // {dag(d, γ)} 
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   //   ^ γ(d) = (0, l, r) } 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊛ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) } 
7   mark_dag(r);
8   //
9   d->m = 1;
10  //
11 } // {dag(d, m(γ, d))}
```



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```
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2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊕ dag(r, γ)) }
   //   ^ γ(d) = (0, l, r) 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊕ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) 
7   mark_dag(r);
8   // ⚡ { d ↦ 0, l, r * (dag(l, γ') ⊕ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ^ γ' = m(m(γ, l), r) 
9   d->m = 1;
10 //
11 } // {dag(d, m(γ, d))} 
```

## Second Recursive Call

$$\begin{aligned} & \text{dag}(l, m(\gamma, l)) \uplus \text{dag}(r, m(\gamma, l)) \\ \vdash & \text{dag}(r, m(\gamma, l)) * (\text{dag}(r, m(m(\gamma, l), r)) \rightarrow * \\ & \text{dag}(l, m(m(\gamma, l), r)) \uplus \text{dag}(r, m(m(\gamma, l), r))) \end{aligned}$$

## Second Recursive Call

$$\begin{aligned} & \text{dag}(l, m(\gamma, l)) \uplus \text{dag}(r, m(\gamma, l)) \\ \vdash & \text{dag}(r, m(\gamma, l)) * (\text{dag}(r, m(m(\gamma, l), r)) \multimap \\ & \text{dag}(l, m(m(\gamma, l), r)) \uplus \text{dag}(r, m(m(\gamma, l), r))) \end{aligned}$$








$$\begin{aligned} l & \leftrightarrow r \\ \gamma & \leftarrow m(\gamma, l) \end{aligned}$$






## First Recursive Call

$$\begin{aligned} & \text{dag}(l, \gamma) \uplus \text{dag}(r, \gamma) \\ \vdash & \text{dag}(l, \gamma) * (\text{dag}(l, m(\gamma, l)) \multimap \\ & \text{dag}(l, m(\gamma, l)) \uplus \text{dag}(r, m(\gamma, l))) \end{aligned}$$






## Proof of mark\_dag using Ramification

```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊛ dag(r, γ)) }
   //   ^ γ(d) = (0, l, r) } 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊛ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) } 
7   mark_dag(r);
8   // ⚡ { d ↦ 0, l, r * (dag(l, γ') ⊛ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ^ γ' = m(m(γ, l), r) } 
9   d->m = 1;
10 //
11 } // {dag(d, m(γ, d))} 
```







## Proof of mark\_dag using Ramification

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1 void mark_dag(struct node *d) { // {dag(d, γ)} 
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4   // { d ↦ 0, l, r * (dag(l, γ) ⊕ dag(r, γ)) }
   //   ^ γ(d) = (0, l, r) } 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊕ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) } 
7   mark_dag(r);
8   // ⚡ { d ↦ 0, l, r * (dag(l, γ') ⊕ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ^ γ' = m(m(γ, l), r) } 
9   d->m = 1;
10 //
11 } // {dag(d, m(γ, d))} 
```

## Proof of mark\_dag using Ramification

```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
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   //   ^ γ(d) = (0, l, r) } 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊕ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) } 
7   mark_dag(r);
8   // ⚡ { d ↦ 0, l, r * (dag(l, γ') ⊕ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ∧ γ' = m(m(γ, l), r) } 
9   d->m = 1;
10  // { d ↦ 1, l, r * (dag(l, γ') ⊕ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ∧ γ' = m(m(γ, l), r) } 
11 } // {dag(d, m(γ, d))}
```

# Proof of mark\_dag using Ramification

```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊛ dag(r, γ)) }
   //   ^ γ(d) = (0, l, r) 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊛ dag(r, m(γ, l))) }
   //   ^ γ(d) = (0, l, r) 
7   mark_dag(r);
8   // ⚡ { d ↦ 0, l, r * (dag(l, γ') ⊛ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ^ γ' = m(m(γ, l), r) 
9   d->m = 1;
10  // { d ↦ 1, l, r * (dag(l, γ') ⊛ dag(r, γ')) }
   //   ^ γ(d) = (0, l, r) ^ γ' = m(m(γ, l), r) 
11 } // {dag(d, m(γ, d))} 
```

# Establishing the Post-Condition of `mark_dag`

## Single Node Marking $m_1(\gamma, x)$

$$m_1((V, L, E), x) = (V, L', E) \text{ where } L'(y) = \begin{cases} 1 & \text{if } y = x \\ L(y) & \text{otherwise} \end{cases}$$

## Lemma

## Mathematical Marking Facts

$$\begin{aligned} m(m(m_1(\gamma, x), \ell), r) &= m(m_1(m(\gamma, \ell), x), r) = \\ m_1(m(m(\gamma, \ell), r), x) &= m(m_1(m(\gamma, r), x), \ell) = m(\gamma, x) \end{aligned}$$

## Post-Condition Entailment







$$\begin{aligned} d \mapsto 1, l, r * (\text{dag}(l, \gamma') \uplus \text{dag}(r, \gamma')) \\ \wedge \gamma(d) = (0, l, r) \wedge \gamma' = m(m(\gamma, l), r) \end{aligned}$$

$$\vdash \text{dag}(d, m_1(m(m(\gamma, l), r), d))$$







$$\vdash \text{dag}(d, m(\gamma, d))$$









# Robustness of the Proof

```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊛ dag(r, γ)) }
   //   { ∧ γ(d) = (0, l, r) } 
5   mark_dag(l);
6   // ⚡ { d ↦ 0, l, r * (dag(l, m(γ, l)) ⊛ dag(r, m(γ, l))) }
   //   { ∧ γ(d) = (0, l, r) } 
7   mark_dag(r);
8   // ⚡ { d ↦ 0, l, r * (dag(l, γ') ⊛ dag(r, γ')) }
   //   { ∧ γ(d) = (0, l, r) ∧ γ' = m(m(γ, l), r) } 
9   d->m = 1;
10  // { d ↦ 1, l, r * (dag(l, γ') ⊛ dag(r, γ')) }
   //   { ∧ γ(d) = (0, l, r) ∧ γ' = m(m(γ, l), r) } 
11 } // {dag(d, m(γ, d))} 
```

## Robustness of the Proof

```
1 void mark_dag(struct node *d) { // {dag(d, γ)} 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d ↦ 0, l, r * (dag(l, γ) ⊕ dag(r, γ)) }
   // { ∧ γ(d) = (0, l, r) } 
5   d->m = 1;
6   // { d ↦ 1, l, r * (dag(l, γ) ⊕ dag(r, γ)) }
   // { ∧ γ(d) = (0, l, r) } 
7   mark_dag(l);
8   // ⚡ { d ↦ 1, l, r * (dag(l, m(γ, l)) ⊕ dag(r, m(γ, l))) }
   // { ∧ γ(d) = (0, l, r) } 
9   mark_dag(r);
10  // ⚡ { d ↦ 1, l, r * (dag(l, γ') ⊕ dag(r, γ')) }
   // { ∧ γ(d) = (0, l, r) ∧ γ' = m(m(γ, l), r) } 
11 } // {dag(d, m(γ, d))} 
```

## Robustness of the Proof

```
1 void mark_dag(struct node *d) { // {dag(d,  $\gamma$ )} 
2   if (!d || d->m) return;
3   struct node *l = d->l, *r = d->r;
4   // { d  $\mapsto$  0, l, r * (dag(l,  $\gamma$ )  $\uplus$  dag(r,  $\gamma$ )) }
   // {  $\wedge \gamma(d) = (0, l, r)$  } 
5   d->m = 1;
6   // { d  $\mapsto$  1, l, r * (dag(l,  $\gamma$ )  $\uplus$  dag(r,  $\gamma$ )) }
   // {  $\wedge \gamma(d) = (0, l, r)$  } 
7   mark_dag(r);
8   //  $\downarrow$  { d  $\mapsto$  1, l, r * (dag(l, m( $\gamma$ , r))  $\uplus$  dag(r, m( $\gamma$ , r))) }
   // {  $\wedge \gamma(d) = (0, l, r)$  } 
9   mark_dag(l);
10  //  $\downarrow$  { d  $\mapsto$  1, l, r * (dag(l,  $\gamma'$ )  $\uplus$  dag(r,  $\gamma'$ )) }
   // {  $\wedge \gamma(d) = (0, l, r) \wedge \gamma' = m(m(\gamma, r), l)$  } 
11 } // {dag(d, m( $\gamma$ , d))} 
```

```
1 void mark_graph(struct node *g) { // {graph(g,  $\gamma$ )}
2   if (!g || g->m) return;
3   struct node *l = g->l, *r = g->r;
4   // {  $g \mapsto 0, l, r \uplus \text{graph}(l, \gamma) \uplus \text{graph}(r, \gamma)$  }
5     {  $\wedge \gamma(g) = (0, l, r)$  }
6   g->m = 1;
7   //  $\downarrow$  {  $g \mapsto 1, l, r \uplus \text{graph}(l, \gamma_1) \uplus \text{graph}(r, \gamma_1)$  }
8     {  $\wedge \gamma(g) = (0, l, r) \wedge \gamma_1 = m_1(\gamma, g)$  }
9   mark_graph(r);
10  //  $\downarrow$  {  $g \mapsto 1, l, r \uplus \text{graph}(l, m(\gamma_1, r)) \uplus \text{graph}(r, m(\gamma_1, r))$  }
11    {  $\wedge \gamma(g) = (0, l, r) \wedge \gamma_1 = m_1(\gamma, g)$  }
12  mark_graph(l);
13  //  $\downarrow$  {  $g \mapsto 1, l, r \uplus \text{graph}(l, \gamma') \uplus \text{graph}(r, \gamma')$  }
14    {  $\wedge \gamma(g) = (0, l, r) \wedge \gamma' = m(m(m_1(\gamma, g), r), l)$  }
15 } // {graph(g,  $m(\gamma, g)$ )}
```

# Marking a Single Node in a Graph

Lemma

Single Graph Node Update

$$\frac{\gamma(x) = (d, l, r) \quad \gamma' = [x \mapsto (d', l, r)]\gamma}{\text{graph}(x, \gamma) \vdash x \mapsto d, l, r * (x \mapsto d', l, r \rightarrow * \text{graph}(x, \gamma'))}$$

Marking the Root

$$\frac{\gamma(g) = (0, 1, r) \quad \gamma_1 = [x \mapsto (1, 1, r)]\gamma}{\begin{aligned} &g \mapsto 0, 1, r \uplus \text{graph}(1, \gamma) \uplus \text{graph}(r, \gamma) \\ \vdash &g \mapsto 0, 1, r * (g \mapsto 1, 1, r \rightarrow * \\ &g \mapsto 1, 1, r \uplus \text{graph}(1, \gamma_1) \uplus \text{graph}(r, \gamma_1) \wedge \gamma_1 = m_1(\gamma, g)) \end{aligned}}$$

```
1 void mark_graph(struct node *g) { // {graph(g, γ)}
2   if (!g || g->m) return;
3   struct node *l = g->l, *r = g->r;
4   // { g ↦ 0, l, r ⊛ graph(l, γ) ⊛ graph(r, γ) }
   // {   ^ γ(g) = (0, l, r) }
5   g->m = 1;
6   // ⚡ { g ↦ 1, l, r ⊛ graph(l, γ1) ⊛ graph(r, γ1) }
   // {   ^ γ(g) = (0, l, r) ^ γ1 = m1(γ, g) }
7   mark_graph(r);
8   // ⚡ { g ↦ 1, l, r ⊛ graph(l, m(γ1, r)) ⊛ graph(r, m(γ1, r)) }
   // {   ^ γ(g) = (0, l, r) ^ γ1 = m1(γ, g) }
9   mark_graph(l);
10  // ⚡ { g ↦ 1, l, r ⊛ graph(l, γ') ⊛ graph(r, γ') }
   // {   ^ γ(g) = (0, l, r) ^ γ' = m(m(m1(γ, g), r), l) }
11 } // {graph(g, m(γ, g))}
```

## Acid Test: Cheney's GC

# Cheney's Copying Garbage Collector

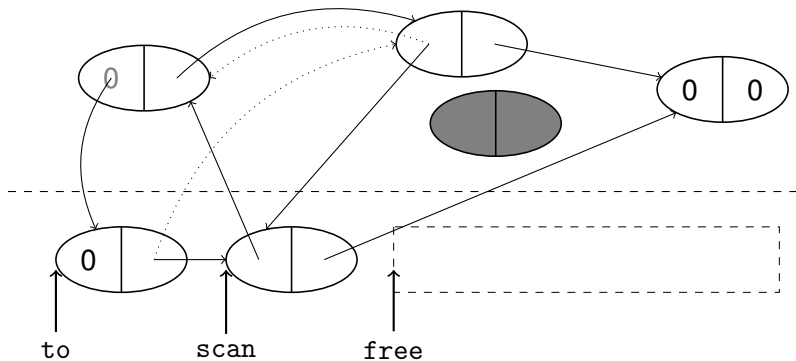
---

```
1 void collect(void **r) {
2   void *tmp = fromSpace;
3   fromSpace = toSpace;
4   toSpace = tmp;
5   free = toSpace;
6   scan = free;
7   copy_ref(r);
8   while (scan != free) {
9     copy((void**)scan);
10    copy((void**)(scan+4));
11    scan = scan + 8;
12  }
13 }
```

```
14 void copy(void **p) {
15   if (p && *p) {
16     void *obj = *p;
17     int fwd = *(int*) obj;
18     if (fwd &&
19         toSpace <= (void*)fwd &&
20         (void*)fwd < toSpace+spaceSz){
21       *(void**)p = (void*)fwd;
22     } else {
23       void *newObj = free;
24       free = free + 8;
25       *(int*)newObj = *(int*)obj;
26       *(int*)(newObj + 4) =
27         *(int*)(obj + 4);
28       *(void**)obj = newObj;
29       *(void**)p = newObj;
30     }
31   }
32 }
```



# State During the Execution



## Loop Invariant

$$\begin{aligned}
 & \text{iso}(\phi, \text{FORW}, \text{BUSY}) \wedge (\text{ALIVE} = \text{FORW} \cup \text{UNFORW}) \wedge \\
 & \text{Reachable}(\text{head}, \text{tail}, \text{ALIVE}, \text{root}) \wedge (\text{ALIVE} \perp \text{NEW}) \wedge \\
 & \text{PtrRg}(\text{head}, \text{ALIVE}) \wedge \text{PtrRg}(\text{tail}, \text{ALIVE}) \wedge \text{Tfun}(\text{head}, \text{ALIVE}) \\
 & \wedge \text{Tfun}(\text{tail}, \text{ALIVE}) \wedge (\# \text{ALIVE} \leq \# \text{NEW})(\text{root} \in \text{FORW}) \wedge \\
 & (\text{scan} \leq \text{free}) \wedge \text{Ptr}(\text{free}) \wedge \text{Ptr}(\text{scan}) \wedge \text{Ptr}(\text{offset}) \wedge \\
 & \text{Ptr}(\text{maxFree}) \wedge \forall_* y \in \text{UNFORW}. ((\exists z. (y, z) \in \text{head} \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \wedge y + 4 \mapsto z')) * \forall_* y \in \text{FORW}. (\exists z. \\
 & (y, z) \in \phi \wedge y \mapsto z, -) * \forall_* y \in \text{UNFIN}. ((\exists z. (y, z) \in \text{head} \circ \phi^\dagger \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \circ \phi^\dagger \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FIN}. ((\exists z. (y, z) \in \phi \circ (\text{head} \circ \phi^\dagger) \wedge y \mapsto z) * \\
 & (\exists z'. (y, z') \in \phi \circ (\text{tail} \circ \phi^\dagger) \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FREE}. y \mapsto -, -
 \end{aligned}$$

## Loop Invariant

$$\begin{aligned}
 & \text{iso}(\phi, \text{FORW}, \text{BUSY}) \wedge (\text{ALIVE} = \text{FORW} \cup \text{UNFORW}) \wedge \\
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 & \text{PtrRg}(\text{head}, \text{ALIVE}) \wedge \text{PtrRg}(\text{tail}, \text{ALIVE}) \wedge \text{Tfun}(\text{head}, \text{ALIVE}) \\
 & \wedge \text{Tfun}(\text{tail}, \text{ALIVE}) \wedge (\# \text{ALIVE} \leq \# \text{NEW})(\text{root} \in \text{FORW}) \wedge \\
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 & \text{Ptr}(\text{maxFree}) \wedge \forall_* y \in \text{UNFORW}. ((\exists z. (y, z) \in \text{head} \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \wedge y + 4 \mapsto z')) * \forall_* y \in \text{FORW}. (\exists z. \\
 & (y, z) \in \phi \wedge y \mapsto z, -) * \forall_* y \in \text{UNFIN}. ((\exists z. (y, z) \in \text{head} \circ \phi^\dagger \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \circ \phi^\dagger \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FIN}. ((\exists z. (y, z) \in \phi \circ (\text{head} \circ \phi^\dagger) \wedge y \mapsto z) * \\
 & (\exists z'. (y, z') \in \phi \circ (\text{tail} \circ \phi^\dagger) \wedge y + 4 \mapsto z')) * \\
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 & \wedge \text{Tfun}(\text{tail}, \text{ALIVE}) \wedge (\# \text{ALIVE} \leq \# \text{NEW})(\text{root} \in \text{FORW}) \wedge \\
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 & \forall_* y \in \text{FIN}. ((\exists z. (y, z) \in \phi \circ (\text{head} \circ \phi^\dagger) \wedge y \mapsto z) * \\
 & (\exists z'. (y, z') \in \phi \circ (\text{tail} \circ \phi^\dagger) \wedge y + 4 \mapsto z')) * \\
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 \end{aligned}$$

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 & \text{PtrRg}(\text{head}, \text{ALIVE}) \wedge \text{PtrRg}(\text{tail}, \text{ALIVE}) \wedge \text{Tfun}(\text{head}, \text{ALIVE}) \\
 & \wedge \text{Tfun}(\text{tail}, \text{ALIVE}) \wedge (\# \text{ALIVE} \leq \# \text{NEW})(\text{root} \in \text{FORW}) \wedge \\
 & (\text{scan} \leq \text{free}) \wedge \text{Ptr}(\text{free}) \wedge \text{Ptr}(\text{scan}) \wedge \text{Ptr}(\text{offset}) \wedge \\
 & \text{Ptr}(\text{maxFree}) \wedge \forall_* y \in \text{UNFORW}. ((\exists z. (y, z) \in \text{head} \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \wedge y + 4 \mapsto z')) * \forall_* y \in \text{FORW}. (\exists z. \\
 & (y, z) \in \phi \wedge y \mapsto z, -) * \forall_* y \in \text{UNFIN}. ((\exists z. (y, z) \in \text{head} \circ \phi^\dagger \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \circ \phi^\dagger \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FIN}. ((\exists z. (y, z) \in \phi \circ (\text{head} \circ \phi^\dagger) \wedge y \mapsto z) * \\
 & (\exists z'. (y, z') \in \phi \circ (\text{tail} \circ \phi^\dagger) \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FREE}. y \mapsto -, -
 \end{aligned}$$

## Loop Invariant

$$\begin{aligned}
 & \text{iso}(\phi, \text{FORW}, \text{BUSY}) \wedge (\text{ALIVE} = \text{FORW} \cup \text{UNFORW}) \wedge \\
 & \text{Reachable}(\text{head}, \text{tail}, \text{ALIVE}, \text{root}) \wedge (\text{ALIVE} \perp \text{NEW}) \wedge \\
 & \text{PtrRg}(\text{head}, \text{ALIVE}) \wedge \text{PtrRg}(\text{tail}, \text{ALIVE}) \wedge \text{Tfun}(\text{head}, \text{ALIVE}) \\
 & \wedge \text{Tfun}(\text{tail}, \text{ALIVE}) \wedge (\# \text{ALIVE} \leq \# \text{NEW})(\text{root} \in \text{FORW}) \wedge \\
 & (\text{scan} \leq \text{free}) \wedge \text{Ptr}(\text{free}) \wedge \text{Ptr}(\text{scan}) \wedge \text{Ptr}(\text{offset}) \wedge \\
 & \text{Ptr}(\text{maxFree}) \wedge \forall_* y \in \text{UNFORW}. ((\exists z. (y, z) \in \text{head} \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \wedge y + 4 \mapsto z')) * \forall_* y \in \text{FORW}. (\exists z. \\
 & (y, z) \in \phi \wedge y \mapsto z, -) * \forall_* y \in \text{UNFIN}. ((\exists z. (y, z) \in \text{head} \circ \phi^\dagger \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \circ \phi^\dagger \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FIN}. ((\exists z. (y, z) \in \phi \circ (\text{head} \circ \phi^\dagger) \wedge y \mapsto z) * \\
 & (\exists z'. (y, z') \in \phi \circ (\text{tail} \circ \phi^\dagger) \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FREE}. y \mapsto -, -
 \end{aligned}$$

## Loop Invariant

$$\begin{aligned}
 & \text{iso}(\phi, \text{FORW}, \text{BUSY}) \wedge (\text{ALIVE} = \text{FORW} \cup \text{UNFORW}) \wedge \\
 & \text{Reachable}(\text{head}, \text{tail}, \text{ALIVE}, \text{root}) \wedge (\text{ALIVE} \perp \text{NEW}) \wedge \\
 & \text{PtrRg}(\text{head}, \text{ALIVE}) \wedge \text{PtrRg}(\text{tail}, \text{ALIVE}) \wedge \text{Tfun}(\text{head}, \text{ALIVE}) \\
 & \wedge \text{Tfun}(\text{tail}, \text{ALIVE}) \wedge (\# \text{ALIVE} \leq \# \text{NEW})(\text{root} \in \text{FORW}) \wedge \\
 & (\text{scan} \leq \text{free}) \wedge \text{Ptr}(\text{free}) \wedge \text{Ptr}(\text{scan}) \wedge \text{Ptr}(\text{offset}) \wedge \\
 & \text{Ptr}(\text{maxFree}) \wedge \forall_* y \in \text{UNFORW}. ((\exists z. (y, z) \in \text{head} \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \wedge y + 4 \mapsto z')) * \forall_* y \in \text{FORW}. (\exists z. \\
 & (y, z) \in \phi \wedge y \mapsto z, -) * \forall_* y \in \text{UNFIN}. ((\exists z. (y, z) \in \text{head} \circ \phi^\dagger \wedge \\
 & y \mapsto z) * (\exists z'. (y, z') \in \text{tail} \circ \phi^\dagger \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FIN}. ((\exists z. (y, z) \in \phi \circ (\text{head} \circ \phi^\dagger) \wedge y \mapsto z) * \\
 & (\exists z'. (y, z') \in \phi \circ (\text{tail} \circ \phi^\dagger) \wedge y + 4 \mapsto z')) * \\
 & \forall_* y \in \text{FREE}. y \mapsto -, -
 \end{aligned}$$

$$\text{graph}(x, \gamma) \stackrel{\text{def}}{=} (x = 0 \wedge \text{emp}) \vee \exists l, r. \gamma(x) = (l, r) \wedge \\ x \mapsto l, r \star \text{graph}(l, \gamma) \star \text{graph}(r, \gamma)$$

### Loop Invariant

$$r \mapsto \text{to} \star (\text{graph}(\text{to}, \gamma) \star \text{fromsp}) \star \text{pool}(\text{free}) \wedge \\ \gamma @ \text{to} \approx \gamma_0 @ r_0 \wedge \text{cheney}(\gamma, \text{scan}, \text{free})$$



$$\text{graph}(x, \gamma) \stackrel{\text{def}}{=} (x = 0 \wedge \text{emp}) \vee \exists l, r. \gamma(x) = (l, r) \wedge x \mapsto l, r \star \text{graph}(l, \gamma) \star \text{graph}(r, \gamma)$$

## Loop Invariant

$$r \mapsto \text{to} \star (\text{graph}(\text{to}, \gamma) \star \text{fromsp}) \star \text{pool}(\text{free}) \wedge \gamma @ \text{to} \approx \gamma_0 @ r_0 \wedge \text{cheney}(\gamma, \text{scan}, \text{free})$$

## Mathematical Predicate

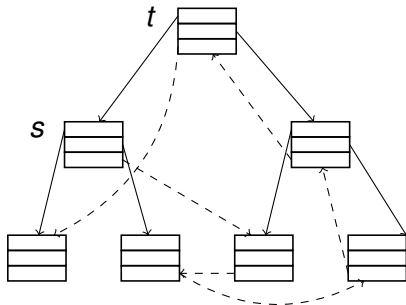
$$\begin{aligned} \text{cheney}(\gamma, s, f) &\stackrel{\text{def}}{=} \text{to}(s) \wedge \text{to}(f) \wedge \\ &|\{v \mid \text{copied}(\gamma, v)\}| = (f - \text{to})/2 \wedge \{\text{to}, \dots, f - 2\} \subseteq \gamma \downarrow \text{to} \wedge \\ &\forall v \in \gamma. \forall a, b. \gamma(v) = (a, b) \Rightarrow \\ &(\text{to}(v) \wedge ((v < s \wedge \text{to}(a)) \vee (v \geq s \wedge \text{from}(a)))) \\ &\quad \wedge ((v + 1 < s \wedge \text{to}(b)) \vee (v + 1 \geq s \wedge \text{from}(b))) \vee \\ &(\text{from}(v) \wedge \text{from}(b) \wedge (\text{to}(a) \Rightarrow \gamma @ b \approx \gamma @ (\gamma(a).2))) \end{aligned}$$

More from the Paper...

## Classical Conjunction

- $\sigma \models P_1 \wedge P_2$  iff  $\sigma \models P_1$  &  $\sigma \models P_2$

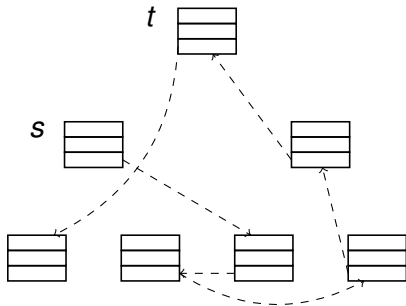
- $\text{list}(s) \wedge \text{tree}(t)$



## Classical Conjunction

- $\sigma \models P_1 \wedge P_2$  iff  $\sigma \models P_1$  &  $\sigma \models P_2$

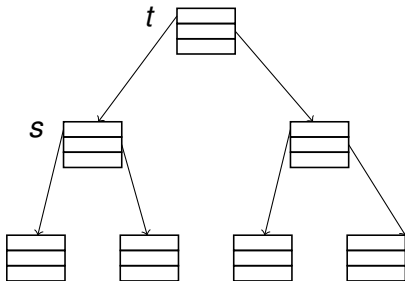
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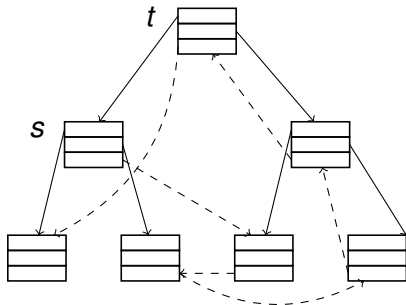
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## Classical Conjunction

- $\sigma \models P_1 \wedge P_2$  iff  $\sigma \models P_1$  &  $\sigma \models P_2$

- $\text{list}(s) \wedge \text{tree}(t)$



# Even more Stuff from the Paper

## Ramification Library

- Generic simplifications, e.g.

Disjoint Ramification

$$\frac{R \vdash P * (P' \rightarrow R') \quad S \vdash Q * (Q' \rightarrow S')}{R * S \vdash P * Q * (P' * Q' \rightarrow R' * S')}$$

- Specific graph & dag lemmas

## Additional Program Proofs

$\{\text{dag}(x, \delta)\} y = \text{copy\_dag}(x) \{\text{dag}(x, \delta) * \text{dag}(y, \delta')\}$

$\{\text{graph}(x, \gamma)\} \text{span}(x) \{\text{tree}(x, \tau) \wedge \text{reach}(\tau, x) = \text{reach}(\gamma, x)\}$

$\{\text{graph}(x, \gamma)\} \text{dispose\_graph} \{\text{emp}\}$

# Conclusion



## Sharing in Data Structures

- Naturally expressed using  $*$ ,  $\otimes$  and  $\wedge$
- Prevents natural applications of the frame rule

## Ramify Rule

- By-hand, concise, compositional proofs
- Moves the complexity from space land to math land
- Valid in any separation logic

Prove...

- ... more programs
- ... concurrent ones
- ... more automatically
- ... machine checked

# The Ramifications of Sharing in Data Structures

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